PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

stitute for form 1449A/PTO

NFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 1 Sheet

Complete if Known				
Application Number	09/896,088			
Filing Date	June 28, 2001			
First Named Inventor				
Group Art Unit	2185			
Examiner Name	Unassigned			
Attomey Docket Number	42390P11770			

	U.S. PATENT DOCUMENTS								
Examiner Initials*			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
7 %	AA	5,224,160	•	Paulini, et al.	06-29-1993				
3	AB	5,530,757		Krawczyk	06-25-1996				
7久	AC	5,940,513		Aucsmith, et al.	08-17-1999				
		<u> </u>				1 7			
					,	E E C			
						00 20			
						ECHMOON CENTER 2100			
						TE P			
						18			

	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	T*	
	No.1	Office ¹	Number ⁴	Kind Code ⁴ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear		
				1 1					
								T	
		1		 				T	
		+						1	
		 				_	· · · · · · · · · · · · · · · · · · ·	\top	
		+						+	
	ļ <u></u>	+-+							
	<u> </u>	+						+	
		+-+						+	
	l	1 1				1 1		1	

	Examiner Signature	llen	Sujo	Date Considered	1103/04-11/05/04
--	-----------------------	------	------	--------------------	------------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Phase type a plus sign (+) inside this box —> + Under the Paperwork Reduction Act of 1995, no persons a	Patent and Tri	PTO/SB/08B (08 Approved for use through 10/31/2002. OMB 0651-0 ademark Office: U.S. DEPARTMENT OF COMMER ormation unless it displays a valid OMB control num
All 2 6 2 Sull litute for form 1449A/PTO		Complete if Known
AND 1. T SMANICAL DISCUSSION OF THE PARTY OF	Application Number	09/896,088
FORMATION DISCLOSURE	Filing Date	June 28, 2001
STATEMENT BY APPLICANT	First Named Inventor	Carl M. Ellison
STATEMENT DI ALI EISTAN	Group Art Unit	2185
	Examiner Name	Unassigned

Attorney Docket Number

42390P11770

of

1

Sheet

1

		OTHER ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T³
23	AD	RIVEST, R., "SDSI - A Simple Distributed Security Infrastructure," September 15, 1996, Laboratory for Computer Science, Massachusetts Institute of Technology, http://theory.lcs.mit.edu/~rivest/sdsi10.html, pp 1-41.	
35	AE	ELLISON, C., et al., "SPKI Certificate Theory," September 1999, Network Working Group, RFC 2693, www.ietf.org/rfc/rfc2693.txt, pp. 1-38.	
		Edna Richt	
		Og to K	
		Britan D	
		· is	
		·	
		·	
			

Examiner Signature	31	en Al	كممام	Date Considered	1/103/04-MOD/24
		, , , , , , , , , , , , , , , , , , , ,			

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

'Unique citation designation number. 'Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PE. Papes type a plus sign (+) traids this box	→	+	
--	----------	---	--

Sheet

PTC/SB/088 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays 9 valid OMB control number.

Sujetitute for form 1449A/PTO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

1

of

1

Complete if Known **Application Number** 09/896,088 Filing Date June 28, 2001 First Named Inventor Carl M. Ellison Group Art Unit 2185 **Examiner Name** Unassigned Attorney Docket Number 42P11770

		OTHER ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	r	
38	AA	DIFFIE, WHITFIELD and HELLMAN, MARTIN E., New Directions in Cryptography, IEEE Transactions on Information Theory, November 1976, pp. 644-654, Vol. IT-22(6)		
38	AB	HOUSLEY, R., et al., Internet X.509 Public Key Infrastructure Certificate and CRL Profile, Request for Comments: 2459, www.ietf.org/rfc/ref2459.txt, p. 1-113	·	
		REC	E	VED.
ı.		MAY	0 8	2002
		Technolog	у С	enter 210
		ORIGINALLY FIL	RS	
		CC ORI	PYO	F PAPERS LY FILED
•				LYFILED
		1		

Examiner Date Signature Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

'Unique citation designation number. 'Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.